New York Minute

September 2022

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Toto, We're Not in Kansas Anymore

With kids back at school, summer vacations over, and the (brief) summer market rally in the rearview mirror - it seems like our entire NIRI NY community is confronted with the open-ended question, what happens next? Interest rates continue to rise, with the 2-10 curve inversion deepening, and a strong US dollar may be bringing pain abroad. Inflation remains stubbornly high despite an energy price decline and the Fed is trying to carefully navigate the eventual landing. We are finally starting to see moderating supply chain issues in some instances, but nothing is guaranteed to last these days.

Where do we go from here, and how does this list of factors impact investor willingness to engage with IR and the sellside? More importantly, is there any willingness to add to positions at this uncertain juncture?

During these constantly shifting global macro considerations, let's not also forget that the SEC has been actively pushing for increased oversight and transparency on topics such as climate and ESG disclosure, crypto regulation, private company financial disclosure, and more.

PARTNER PERSPECTIVE

Board Oversight of ESG – Preparing for Next Proxy Season

Investor interest in ESG has been intensifying over the past decade. Planning for 2023 proxy disclosure requires both careful review of final and proposed SEC disclosure regulations as well as consideration of the growing investor scrutiny of sustainability. Whether it is the new Pay versus Performance disclosure rules, or the expected new climate-related disclosure requirements, the board's role in oversight of an

While it's unclear what the end result for each of these may be, the existence of these ongoing discussions likely impact many within our IR community, who may have either a direct role in the creation and dissemination of ESG reports or financial disclosures, or work very closely with the teams who do.

We'll be polling our members in the coming weeks on the various topics above to see how you and your firms are responding to the various challenges facing IROs today. In the meantime, don't hesitate to utilize the NIRI forums, which offer the experience of your fellow members, as a resource!

This month's Member Spotlight highlights a new chapter member, Naveen Kallu, Director of Investor Relations, Omnicell, Inc. Naveen shared details of his career pivot after 16+ years on the buy-side for his profile. His passion for this new professional chapter is infectious!

As always, I would encourage you to stay connected with the NIRI NY chapter by following us on Twitter, LinkedIn, and checking out the latest information on our website.

Welcome back to all!



Best,
MaryKate McGilley
President, NIRI New York Chapter
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Find us here: NIRI NY Board and NextGen Committee

expanding array of risks and opportunities comes more closely into the crosshairs for all stakeholders. DFIN's oversight of ESG whitepaper provides relevant examples of effective structures, processes and their disclosures, while this year's Guide to Effective Proxies will be featured in a webinar on Wednesday, September 28.

UPCOMING EVENTS

See entire calendar

WELCOME NEW MEMBERS!

- Kazuko Amamoto, Hitachi America, Ltd.
- Gemma Bakx, LaVoieHealthScience
- Nadia Goncalves, (BD) Becton, Dickinson and Company
- Brian Hanly, Equity Animal
- Neil McCarthy, Morrow Sodali, LLC
- Sean Moshier, Assurant, Inc.
- Stan Perry, Morrow Sodali, LLC
- Amandine Rorison-Powell, Artisan Partners Asset Management Inc.
- Shirley Serrao Martin, American Eagle Outfitters, Inc.
- Ned Zachar, Pitney Bowes Inc.



Member Spotlight

Naveen Kallu, Director of Investor Relations, Omnicell, Inc. (OMCL), joins us for this month's Member Spotlight! Here are a few snippets from our conversation. The full profile can be found here!

"*The Investor Relations role has evolved drastically requiring intelligence on the investors thought process as well as business strategies.*"

"*Having buy-side experience in Equity and Quantitative Research, developing and managing portfolio strategies catering to various investment objectives has given me extensive insights into the investor's mindset and requirements.*"

"*The IR world is as interesting and dynamic as I expected.*"

Please let us know if you'd like to be featured in the **Member Spotlight**.

Mentorship Program Update

2022-2023 Mentorship Initiative
Call for Mentors and Mentees

Are you relatively new to Investor Relations and looking to advance your IR knowledge and skills? Perhaps you're a senior IRO and are looking to "pay it forward." Here's your opportunity!

NIRI New York has a mentoring initiative to foster greater connection and knowledge-sharing within our community. The program is designed to deliver experience insights, career advice and networking opportunities to chapter members across experience levels and backgrounds. Mentees will be paired with a senior investor relations professional who will serve as a sounding board, educator, and guide during the program and beyond.

Deadline for sign-up is October 15. Mentor/mentee pairings will be announced shortly thereafter, and run through the remainder of the season (June 2023).

If you are interested in participating in this program, please email Mentorships@niriny.org

NIRI NY Appoints New NextGen Committee for 2022-2023 Season

We are pleased to announce the new members of the NextGen Committee for the 2022-2023 term.

If you are interested in helping the NIRI NextGen committee or have program ideas, please contact Emily Mohr, President, NIRI NY NextGen, at nirinextgen@niriny.org.

Your NIRI National Profile Data

Please review/update your profile data (company, title, email, phone number) in the NIRI National portal by clicking here.

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